

LEWIS & CLARK BANK

JOB DESCRIPTION

Department: Operations Department
Location: Oregon City Office
Job Title: BSA Officer
Reports to: Regulatory Risk Officer
Hours: M-F 8:00 a.m. – 5:00 p.m.
Salary: \$65,000-\$80,000

General Description: The Bank Secrecy Act (BSA) Officer is responsible for the development, implementation, and administration of all aspects of the Bank's BSA/AML Compliance Program. The BSA Officer will monitor and ensure the Bank is compliant with all State and Federal laws and regulations applicable to BSA/AML/OFAC.

This position is responsible for the day-to-day operations of the BSA/AML/OFAC program. Follow written procedures and ensure that all processes are completed in a timely manner and comply with all applicable BSA/AML/OFAC regulatory requirements.

Knowledge, skills and abilities required:

- ◆ A Bachelor's Degree with emphasis in Business or equivalent job experience.
- ◆ Three to five years of prior experience in the financial industry with BSA emphasis
- ◆ Proficient in Microsoft Office with emphasis on Excel.
- ◆ Experience with BAM+ software.
- ◆ Excellent verbal and written communication skills.
- ◆ CAMS Certification, preferred.

Essential Functions:

- ◆ Coordinates and oversees an effective Bank Secrecy Act/Anti-Money Laundering/OFAC Compliance Program that is in line with current industry best practices, regulatory guidance, and requirements.
- ◆ Enhances, develops, implements, and administers the BSA/AML/OFAC/USA Patriot Act monitoring system to ensure that appropriate parameters are in place to identify suspicious and/or fraudulent activity.
- ◆ Establishes and maintains an effective CDD/EDD risk rating and monitoring program to include initial and ongoing assessments, and review and analysis of unusual/suspicious account activity.

Lewis & Clark Bank is an equal opportunity employer committed to creating a diverse workforce. We consider all qualified applicants without regard to race, religion, color, sex, national origin, age, sexual orientation, gender identity, disability or veteran status, among other factors.

- ◆ Establishes and maintains appropriate SAR investigation, review, and reporting processes that promote consistent decisions; adequate investigation and research; and complete and detailed documentation.
- ◆ Conducts BSA/AML/OFAC risk assessment(s).
- ◆ Responsible for ensuring all required regulatory reporting is conducted in a timely, accurate, and compliant manner, including the filing of Currency Transaction Reports (CTRs) and Suspicious Activity Reports (SARs) to FinCEN, as well as, FinCen 314(a) and procedures for reporting.
- ◆ Participates in response to exam and audit concerns and oversees corrective action of all related compliance deficiencies or violations.
- ◆ Updates and revises BSA/AML/OFAC policies and procedures.
- ◆ Administers BSA/AML/OFAC related training programs to Directors, Management, and Employees and provides guidance and coaching to employees.
- ◆ Stays abreast of developing industry and compliance trends, scams or schemes, and changes to laws and regulations pertaining to BSA/AML/OFAC/USA Patriot Act. Is aware of emerging risks, new or amended laws, regulations, or agency guidance and recommends and implements changes and controls to mitigate the risk.
- ◆ Other duties and projects as assigned.

Working Conditions: General office environment

Equipment Used: Computer, copier, fax machine

Completed employment applications may be submitted electronically through the various recruitment advertising networks; in person at 15960 S. Agnes Ave, Oregon City; mailed to PO Box 1630, Oregon City, OR 97045; or emailed to HR@lewisandclarkbank.com.

Lewis & Clark Bank is an equal opportunity employer committed to creating a diverse workforce. We consider all qualified applicants without regard to race, religion, color, sex, national origin, age, sexual orientation, gender identity, disability or veteran status, among other factors.

APPLICATION FOR EMPLOYMENT

A. GENERAL INFORMATION			
Name:	Email Address:	Driver's License No. & State:	Date:
Previous Last Name Used:	Current Street Address:		
City:	State:	Zip:	Area Code & Home Phone Number:
If not a resident at current address for 2 years, give previous address & phone number:		Lived There From:	To:
Are you a United States citizen or legally authorized to work in the United States? <input type="checkbox"/> Yes <input type="checkbox"/> No (Upon hiring, all persons must verify eligibility to be employed in the United States.)			
List states and counties of residence for the past <u> </u> years:			
Do you have any relatives or friends working for this company? <input type="checkbox"/> Yes <input type="checkbox"/> No If yes, give name and department:			
Have you ever worked for this company before? <input type="checkbox"/> Yes <input type="checkbox"/> No If yes, when and in what department/location?			
In case of an emergency, who should we notify?	Name:	Address:	Phone Number:
B. JOB INTEREST			
Position Applying For:			Referred By:
Type of employment desired (check one): <input type="checkbox"/> Full-time <input type="checkbox"/> Part-time <input type="checkbox"/> Temporary <input type="checkbox"/> Summer			
Shift Preference:		Salary Required:	
Are you willing to work overtime? <input type="checkbox"/> Yes <input type="checkbox"/> No		Are you willing to work weekends? <input type="checkbox"/> Yes <input type="checkbox"/> No	
Are you willing to travel? <input type="checkbox"/> Yes <input type="checkbox"/> No If yes, how often?			
Date available to begin work:		Are you 18 or over? <input type="checkbox"/> Yes <input type="checkbox"/> No	
C. EDUCATION			
Name & Address of School Attended		Did you graduate?	List Diploma or Degree
High School		<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Attending	
College or University		<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Attending	
Other		<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Attending	
D. REFERENCES			
Please list two persons who know of your qualifications and work abilities (do not include relatives):			
Name:	Address:	Phone Number:	Occupation:

YOUR EMPLOYMENT HISTORY

Please list below your Employment History beginning with your most recent employer. Account for all periods of time, including **part-time work, military service or unemployment**. May we contact your present employer for references? Yes No
 If additional space is needed, please attach supplemental information.

E. EMPLOYER NAME & ADDRESS

From		To		Department:			Supervisor:	Phone Number:
Month	Year	Month	Year				<i>Employer Use Only</i> Dates Verified <input type="checkbox"/> Position Verified <input type="checkbox"/>	
Job Title & Description of Your Duties:								
Reason For Leaving:								

F. EMPLOYER NAME & ADDRESS

From		To		Department:			Supervisor:	Phone Number:
Month	Year	Month	Year				<i>Employer Use Only</i> Dates Verified <input type="checkbox"/> Position Verified <input type="checkbox"/>	
Job Title & Description of Your Duties:								
Reason For Leaving:								

G. EMPLOYER NAME & ADDRESS

From		To		Department:			Supervisor:	Phone Number:
Month	Year	Month	Year				<i>Employer Use Only</i> Dates Verified <input type="checkbox"/> Position Verified <input type="checkbox"/>	
Job Title & Description of Your Duties:								
Reason For Leaving:								

H. EMPLOYER NAME & ADDRESS

From		To		Department:			Supervisor:	Phone Number:
Month	Year	Month	Year				<i>Employer Use Only</i> Dates Verified <input type="checkbox"/> Position Verified <input type="checkbox"/>	
Job Title & Description of Your Duties:								
Reason For Leaving:								

I. SPECIAL SKILLS & QUALIFICATIONS

Please summarize special skills, qualifications, and civic, social or professional memberships:

RELEASE AND CONSENT

I understand and certify that all information supplied in this application, and any attached resume, is complete and correct. Any false, misleading or incomplete information furnished by me regarding this application may result in the rejection of this application or if employed, dismissal. I understand that in consideration of my employment, I agree to conform to the rules and regulations of the Employer, and further agree that my employment and compensation are at the will of the Employer and can be terminated, with or without cause, and with or without notice, at any time at the option of either the Employer or myself. I understand and agree that these terms can only be modified in writing and signed by the President. No supervisor, representative, agent, or other employee of the Employer has now or has had in the past the authority to enter into any agreement for employment, or to make any agreement which is contrary to or in modification of the above terms, nor can any policies or practices of the Employer either written or oral, modify the above terms.

I understand and agree to take any physical examination and pre-employment test, including drug screening test. All such tests will be administered in compliance with the Americans with Disabilities Act.

I understand and hereby authorize all persons, schools, companies, employers, and/or their representatives to furnish verification to the Employer, its representatives or agents, any and all information set forth in this application and/or attached resume. In addition, I hereby agree to hold harmless and to release from all liability all said persons, schools, companies, employers and/or their representatives from any and all claims that I may have, or which may arise, against any and/or all of them, including the Employer, as a result of them furnishing information to the Employer. I authorize the Employer, should they employ me, to release employment references if my employment becomes terminated for any reason. I also authorize the Employer to conduct credit, police, criminal and driving record inquiries, or any other employment related inquiries in compliance with the provisions of the Fair Credit Reporting Act, 15 U.S.C. Section 1681, et. seq. I understand that the decision to hire me and my continued employment will be subject to the results of these inquiries.

We consider applicants for all positions on the basis of qualifications and without regard to race, color, religion, gender (sex), national origin, age, marital status, veteran status, disability, sexual orientation, use of lawful products during non-work hours and any other legally protected status.

I understand this application will be active for employment consideration for 30 days. After 30 days, if I wish to be considered for employment, I must contact the Employer to determine if applications are being accepted.

I have read, understand and agree with this statement.

Applicant's Signature

Date